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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: May 12, 2006

Signature: 

(Jeffrey S. Dickey)

Docket No.: SONY 3.0-022  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
*Ludtke et al.*

Application No.: 10/014,960

Group Art Unit: 3621

Filed: December 11, 2001

Examiner: J. M. Winter

For: METHOD OR SYSTEM FOR EXECUTING  
DEFERRED TRANSACTIONS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CONSIDERATION OF INFORMATION UNDER 37 CFR § 1.97 (C)**

Dear Sir:

It is respectfully requested that the references cited in the enclosed form be considered pursuant to 37 C.F.R. § 1.97(c). Please charge deposit account No. 12-1095 in the amount of \$180.00 pursuant to 37 C.F.R. § 1.17(p). In the event that any additional fee is due in connection with the present request, the same should be charged to our deposit account No. 12-1095.

Dated: May 12, 2006

Respectfully submitted,

By 

Jeffrey S. Dickey

Registration No.: 35,858

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant



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Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

Other than newly cited references listed under cited nos. AD, AT, AU, BA, BB, BC and CA, Applicant notes the references were previously cited in Information Disclosure Statements in connection with Certifications Pursuant To 37 C.F.R. § 1.97(E)(2). Rather than relying upon such Certification which is hereby withdrawn, the references are being resubmitted with a Response filed concurrently herewith.

05/16/2006 AKELECH1 00000024 121095 10014960

01 FC:1806 180.00 DA

Application No.: 10/014,960

Docket No.: SONY 3.0-022

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: May 12, 2006

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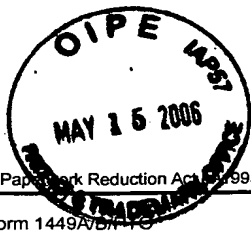
600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant

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Substitute for form 1449A/01-10

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	10/014,960-Conf. #6316
Filing Date	December 11, 2001
First Named Inventor	Harold A. Ludtke
Art Unit	3621
Examiner Name	J. M. Winter
Attorney Docket Number	SONY 3.0-022

Sheet 1 of 1

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA*	US-5,131,038	07-14-1992	Puhl et al.	
	AB*	US-5,276,736	01-04-1994	Chaum	
	AC*	US-5,521,890	05-28-1996	Miche et al.	
	AD*	US-5,457,747	10-10-1995	Drexler et al.	
	AE*	US-5,537,314	07-16-1996	MARK W. KANTER	
	AF*	US-5,594,230	01-14-1997	Waite et al.	
	AG*	US-5,598,474	01-28-1997	Johnson	
	AH*	US-5,604,805	02-18-1997	Brands	
	AI*	US-5,696,827	12-09-1997	Brands	
	AJ*	US-5,712,913	01-27-1998	Chaum	
	AK*	US-5,778,384	07-07-1998	Provino et al.	
	AL*	US-5,838,812	11-17-1998	Pare, Jr. et al.	
	AM*	US-6,014,636	01-11-2000	Reeder	
	AN*	US-6,026,491	02-15-2000	Hiles	
	AO*	US-6,108,644	08-22-2000	Goldschlag et al.	
	AP*	US-6,263,446	07-17-2001	Kausik et al.	
	AQ*	US-6,289,323	09-11-2001	Gordon et al.	
	AR*	US-6,314,196	11-06-2001	Yamaguchi et al.	
	AS	US-6,353,811	03-05-2002	Weissman	
	AT*	US-6,560,741	05-06-2003	Gerety, Eugene P. et al.	
	AU*	US-6,655,585	12-02-2003	Shinn, Philip C.	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	JP-9722857	02/1997	OSAMU		
	BB	JP-99164179	06/1999	HIOSHINO		
	BC	JP-9978521	03/1999	TAKEDA		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	MCKENDRICK, JOSEPH, Creating a Password for Life from the Tip of a Finger, 11/3/99, ent, v4, n19, p.22.	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	Date Considered
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